

The NYS Council on Children and Families hosts the

Prekindergarten CBO/Head Start Collaboration Process

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Which best describes the type of organization/agency that represents you?

(1/2)

Child Care Center



Family Child Care



Group Family Child Care



Head Start



CCR&R



Multiple-choice poll

Which best describes the type of organization/agency that represents you?

(2/2)

Special Education/4410

5%

School District

10%

State Agency

2%

QUALITYstarsNY

1%

Other

81%



Agenda



- Prekindergarten in NY
- OCFS Overview
- Knowing your community
- Collaboration between School Districts and CBOs/Head Start
- Question and Answers

2022-2023 Enacted State Budget



- The enacted state budget added an additional \$125M into universal prekindergarten for 4-year-old children, bringing the total to \$1.1B over 5 funding streams.
- \$100M of this was non-competitive and awarded to districts with the priority being for districts who have not received state-funding to support a UPK program. The funding was allocated to 336 districts across New York State, allowing for approximately 14,928 new full day 4-year-old seats.
- \$25 million will be awarded to districts through a competitive RFP process.

Prekindergarten Numbers

SY 2021-2022: \$970 M (Five funding streams) 623 out of the 675 (92%) main school districts* will have PreK funding.

SY 2022-2023: \$1.1 B (Five funding streams) 652 out of the 675 (96%) main school districts* will have PreK funding.

- The \$100 million in new allocated PreK funding will create an additional 14,928 full-day seats for four-year-old students.

Universal Prekindergarten 101



Child Care



- As Part of **Education Law 3602-e**, all districts receiving UPK funding must set aside, at a minimum 10% of their allocation to collaborate with eligible agencies to assist in running a UPK program.

Eligible Agency

- Eligible Agencies, as defined in 8 NYCRR 151-1.2(b) shall mean a provider of child care and early education, a day care provider, early childhood program or center or community-based organization including, but not limited to approved preschool special education program, Head Start, nursery schools, libraries and museums.

SY 2020-2021 Student Totals per Type of Classroom

District Operated	50,353 students
Community Based Operated	65,244 students
- Head Start	7,111 students
- Other Public Settings (Libraries, Museums (assuming public), Public Universities and BOCES)	174 students
- Private Settings (4410's, Child Care Centers, Family and Group Family Child Care, Non-Public Schools and Nursery Schools)	57,487 students
-Other	3,656 students

NYS Prekindergarten Children Served by Labor Region

Labor Region	NYS Prekindergarten All Children Served			NYS Prekindergarten Four-Year Old Children Served				
	Total 3&4s Half-Day	Total 3&4s Full-Day	Total 3&4s Served	Total 4s Half-Day	Total 4s Full-Day	Total 4s Served	2019-20 Proxy of 4s	Percentage of 4s Served
Capital District	788	2,016	2,804	788	1,600	2,388	8,258	28.92%
Central New York	1,826	2,204	4,030	1,563	1,693	3,256	6,512	50.00%
Finger Lakes	3,099	3,412	6,511	2,751	2,571	5,322	9,801	54.30%
Hudson Valley	4,442	4,955	9,397	4,412	4,644	9,056	23,423	38.66%
Long Island	4,675	3,008	7,683	4,666	2,979	7,645	24,524	31.17%
Mohawk Valley	965	1,172	2,137	958	987	1,945	3,924	49.57%
New York City	1,788	68,599	70,387	1,788	59,452	61,240	72,336	84.66%
North Country	1,075	1,259	2,334	1,059	1,056	2,115	3,527	59.97%
Southern Tier	770	2,400	3,170	715	2,096	2,811	4,971	56.55%
Western New York	3,232	3,874	7,106	3,150	3,434	6,584	11,581	56.85%
STATEWIDE	22,660	92,899	115,559	21,850	80,512	102,362	168,857	60.62%

Note: 13,197 three-year old children were served.

OCFS Licensing

- OCFS provides regulatory oversight and licensing activities to over 15,000 child care programs(excluding NYC day care centers)
- CBO's providing PreK services for more than 3 hrs a day are generally required to be licensed by OCFS
- PreK programs operated by schools generally do not require OCFS licensure
- OCFS licensed programs subject to robust background check procedures and ongoing monitoring and technical assistance activities
- Extensive information on OCFS website: <https://ocfs.ny.gov/programs/childcare/>



Types of OCFS programs

- **Family day care home** shall mean a program caring for children for more than three hours per day per child in which child day care is provided in a family home for three to six children. A family day care provider may, however, care for seven or eight children at any one time if no more than six of the children are less than school age and the school-aged children receive care primarily before or after the period such as children are ordinarily in school.
- **Group family day care home** shall mean a program caring for children for more than three hours per day per child in which child day care is provided in a family home for seven to twelve children of all ages. A group family day care program may provide child day care services to four additional children if such additional children are of school age and such children receive services only before or after the period such children are ordinarily in school or during school lunch periods, or school holidays, or during those periods of the year in which school is not in session.



Types of OCFS programs

- **Child day care center** means a program or facility which is not a residence in which child day care is provided on a regular basis to more than six children for more than three hours per day per child for compensation or otherwise.
- **School-age child care program** means a program or facility which is not a residence in which child day care is provided to an enrolled group of seven or more children under 13 years of age during the school year before and/or after the period such children are ordinarily in school or during school lunch periods. School-age child care programs also may provide care during school holidays and those periods of the year in which school is not in session, including summer vacation. Such programs must operate consistent with the local school calendar.

Number of Licensed & Registered Child Care Providers

	Region	Center-Based		Home-Based		Total
		Child Care	School Age	Family	Group Family	
Number of Child Care Providers	New York City	2,105	1,576	963	4,901	9,545
	Rest of State	2,030	1,197	1,858	2,531	7,616
	Total	4,135	2,773	2,821	7,432	17,161

How Many Eligible Agencies Are in Your School District?

Map it

- To find unmet need
- To send all eligible agencies your PreK RFP

<http://www.nyskwic.org/>



COUNCIL ON CHILDREN & FAMILIES

Kids' Well-being Indicators Clearinghouse



- HOME
- ABOUT KWIC
- GET DATA
- DATA TOOLS
- RESOURCES
- LEARN MORE

Select an Indicator ▶ GO

Select a County ▶ GO

 **KWIC Indicator Data**

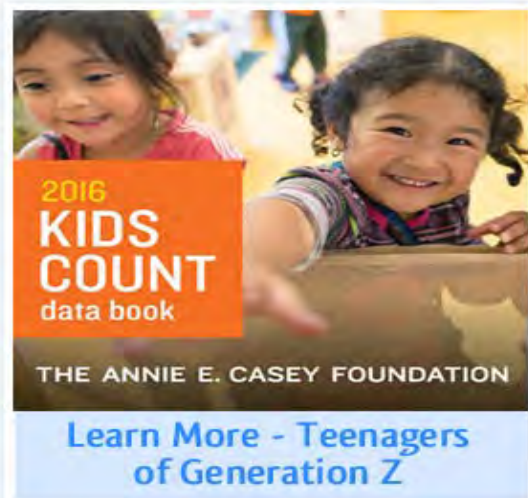
 **KWIC County Reports**

 **KWIC Data Tools**

Welcome

The Touchstones' vision: All children, youth and families will be healthy and have the knowledge, skills and resources to succeed in a dynamic society.

We invite you to explore KWIC and view a wide range of health, education and other well-being indicators to learn more about the status of children across New York state.



2016 KIDS COUNT
data book

THE ANNIE E. CASEY FOUNDATION

Learn More - Teenagers of Generation Z



- HOME
- ABOUT KWIC
- GET DATA
- DATA TOOLS
- RESOURCES
- LEARN MORE

Map Builder



Design an interactive map with KWIC's Map Builder.

- Map Builder
- Graph Builder
- Custom Query
- MyKWIC

Go to KWIC's Map Builder

This map tool provides the ability to map the full range of KWIC data, as well as school and demographic data at many geographic levels. Map points of interest for an in-depth view of counties and communities across the state.

[View Map Builder Help Document](#)

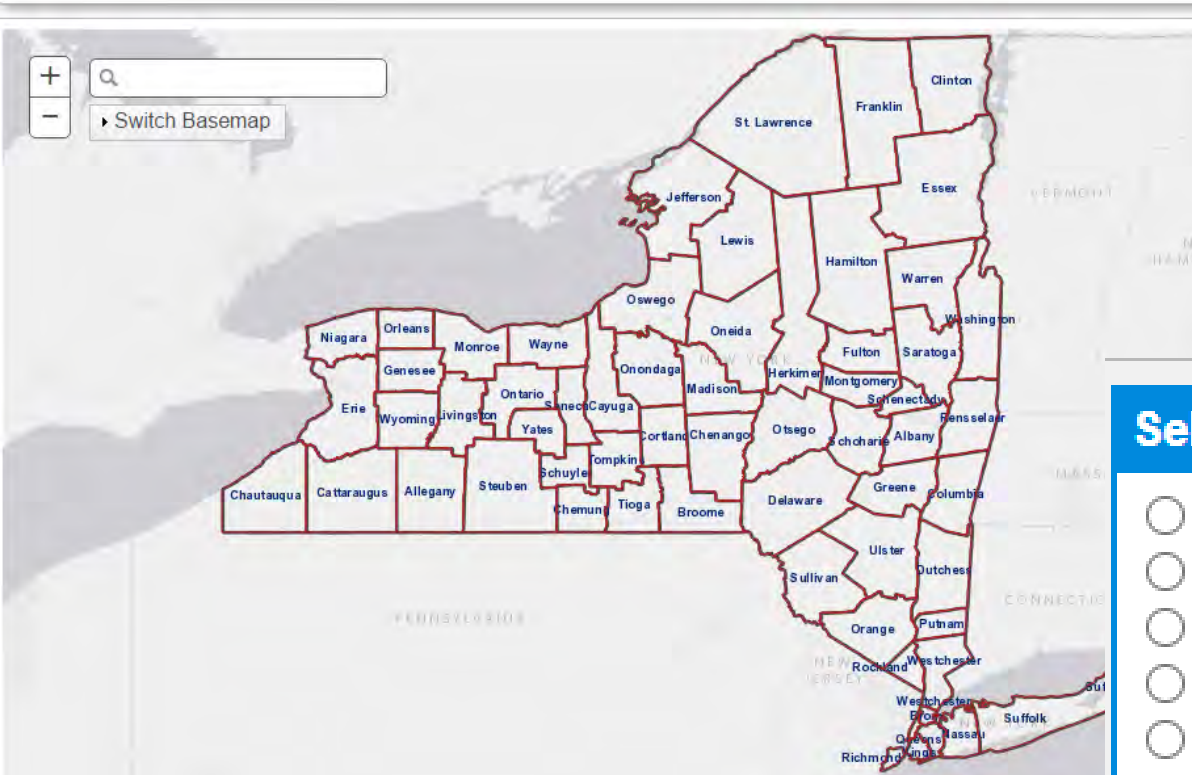


Features of the Map Builder are:

- Map KWIC indicators and compare maps across years
- Map demographic and census data for a



You select the boundary



Select Boundary to Map:

- Counties
- Cities and Towns
- NYS Assembly Districts
- NYS Senate Districts
- US Congressional Districts
- School Districts

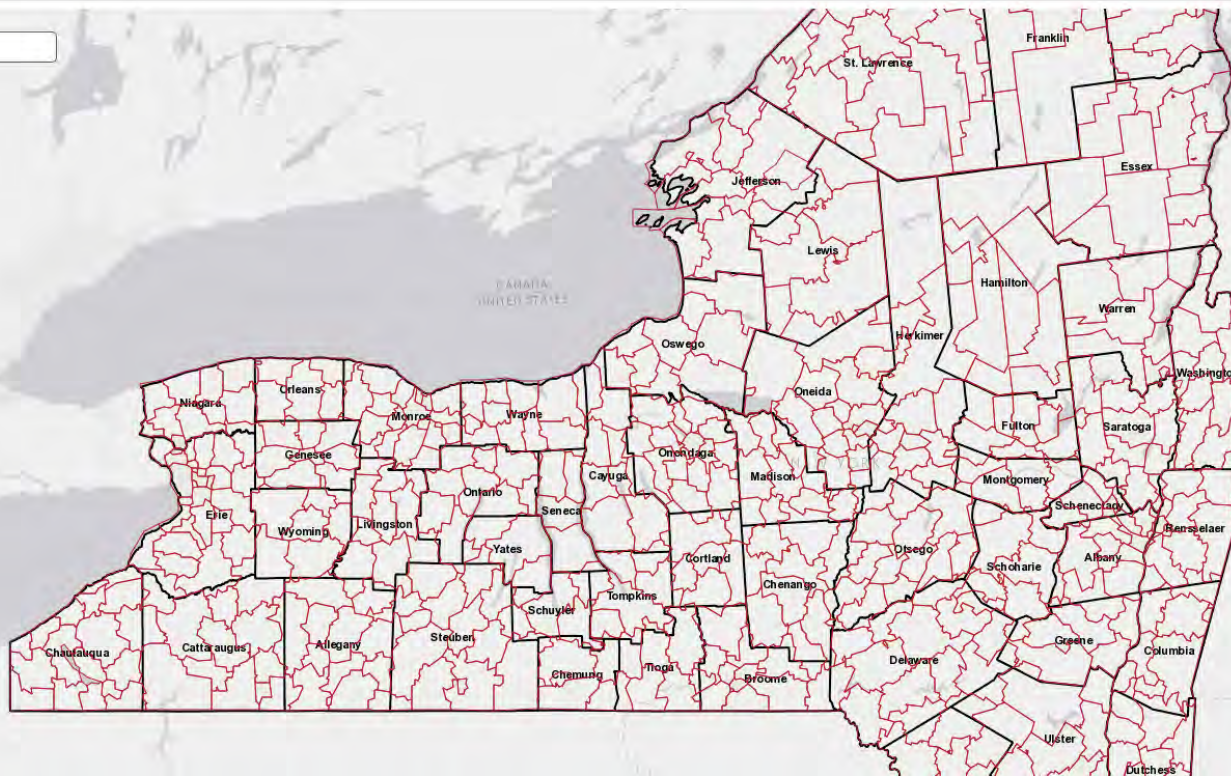


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Switch Basemap

Home



Select Boundary to Map:

- Counties
- Cities and Towns
- NYS Assembly Districts
- NYS Senate Districts
- US Congressional Districts
- School Districts

Map School Districts Data:

Select an Indicator

Map Community Assets:

No Community Assets

Physical and Emotional Health

- Women, Infants and Children (WIC) Locations
- Local Mental Health Programs

Education

- Head Start Sites
- Public Schools
- SUNYs
- CUNYs
- Proprietary Schools

Family

- After School Programs
- Child Care Programs

Community

ALBANY CITY SCHOOL DISTRICT

BOCES District:

BOCES ALBANY-
SCHOH-
SCHENECTADY-SARAT

K-12 Enrollment

(2014/15): 8,391

Average Daily

Attendance Rate

(2012/13): 0.92

Risk of Chronic

Absence (2013/14): 2

Levels of Risk

1=severe; 2=moderate;

3=low

Suspensions

(2013/14):

15% - 1,263

Students Receiving

Free/Reduced Price

Lunch (2014/15):

57% - 4,842

Students with Limited

English Proficiency

(2014/15):

9% - 754

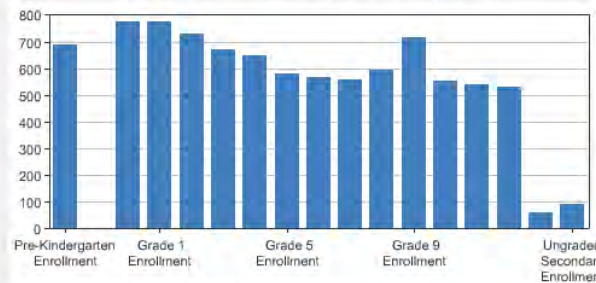
Eng Language Arts

Proficient Gr 4

(2014/15):

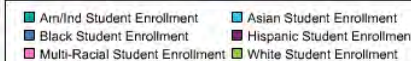
14% - 80

Student Enrollment By Grade (2014/15)



Data is 0 or Not Available if the bar graph is not shown


Student Enrollment by Race/Ethnicity (2014/15)




School District Profile


Map Community Assets:




 No Community Assets

Physical and Emotional Health

 Women, Infants and Children (WIC) Locations

 Local Mental Health Programs

Education

 Head Start Sites

 Public Schools


 SUNYs

 CUNYs

 Proprietary Schools

Family

 After School Programs

 Child Care Programs

Community


 Public Libraries

 Academic Libraries

 Special Libraries

 Career Centers

 Farmers Markets

 Museums and Historical Sites

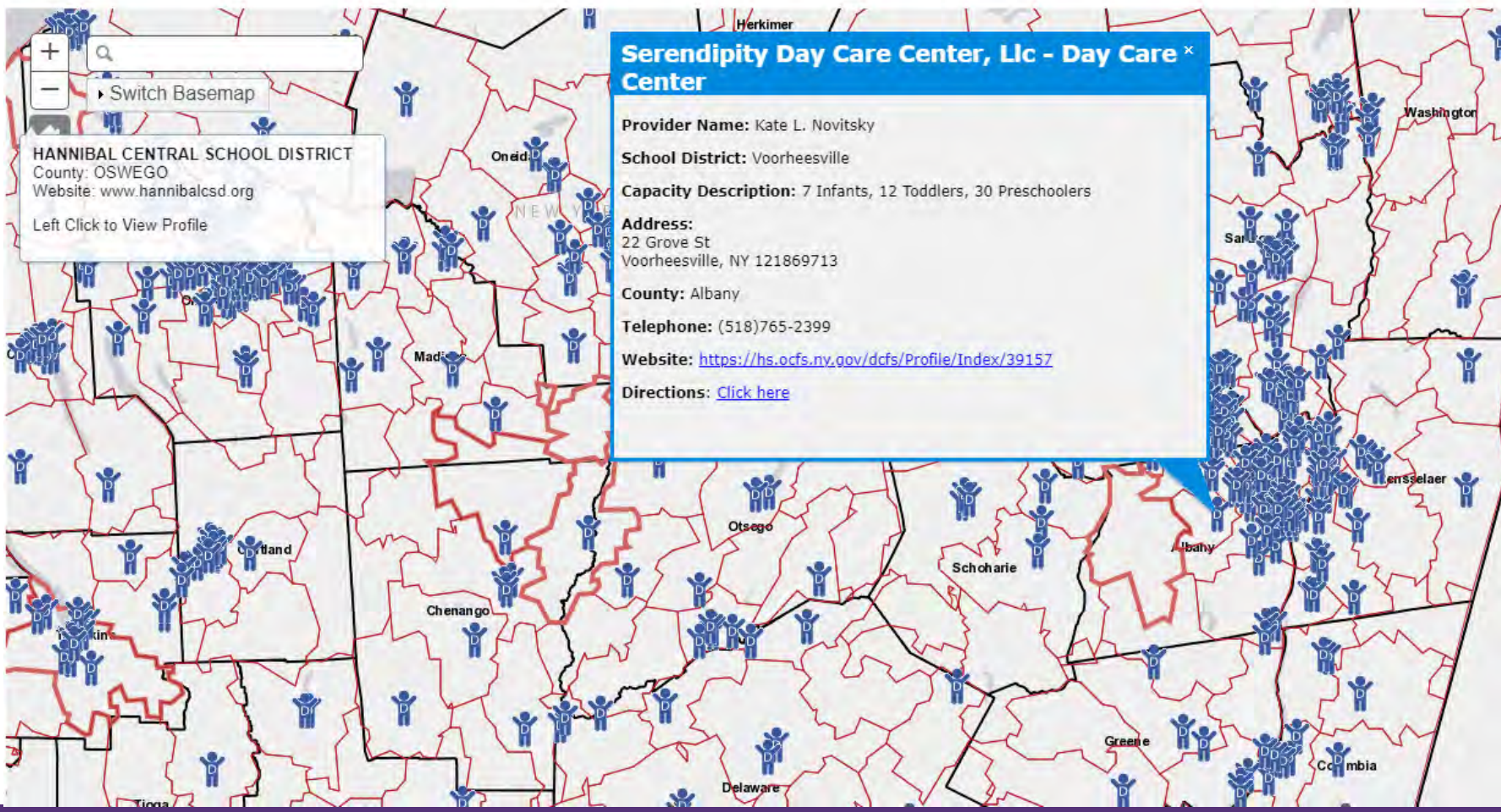
 Retail Food Stores

Map navigation controls including zoom in (+), zoom out (-), and a search icon. A button labeled "Switch Basemap" is also present.

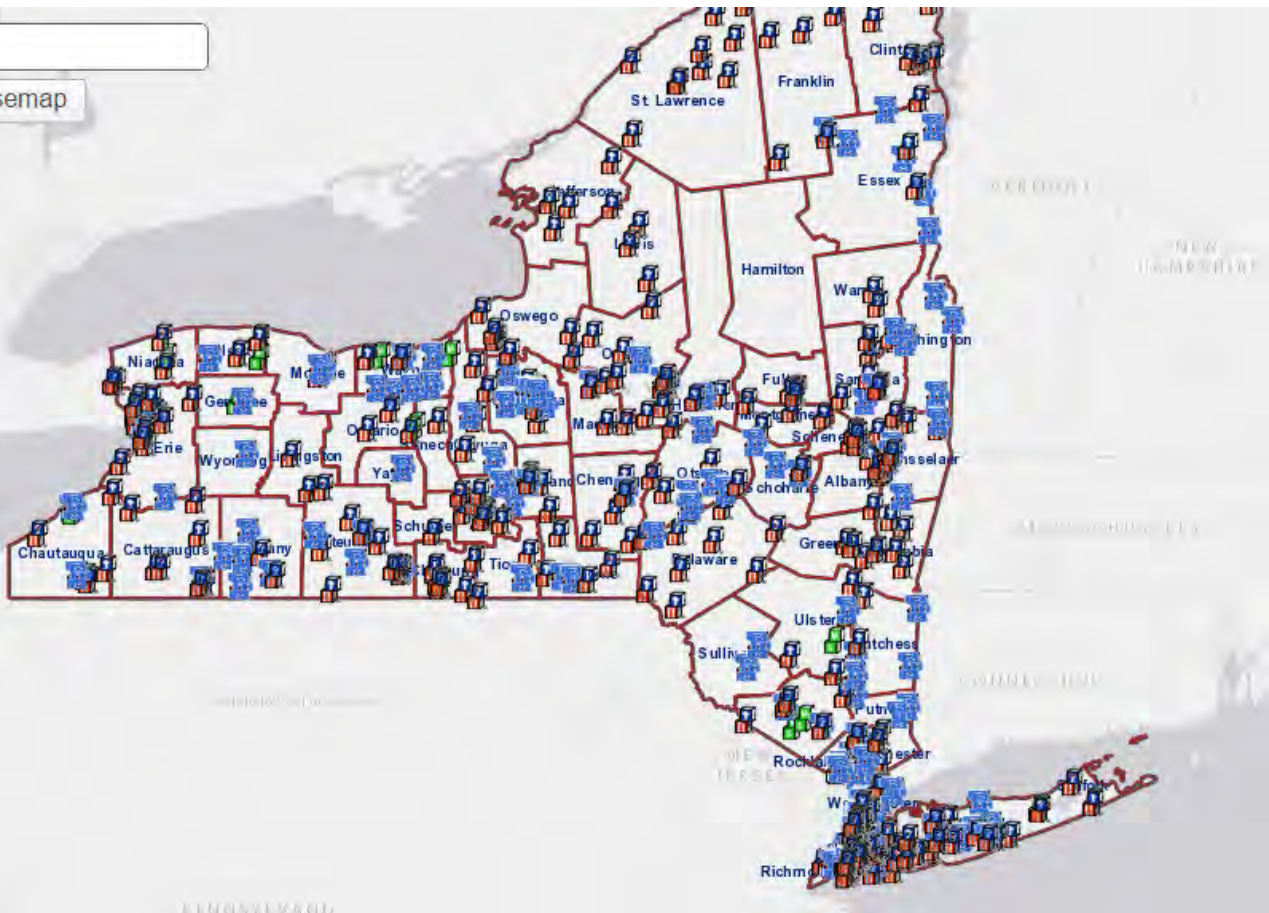
HANNIBAL CENTRAL SCHOOL DISTRICT
County: OSWEGO
Website: www.hannibalcsd.org
Left Click to View Profile

Serendipity Day Care Center, Llc - Day Care Center

Provider Name: Kate L. Novitsky
School District: Voorheesville
Capacity Description: 7 Infants, 12 Toddlers, 30 Preschoolers
Address:
22 Grove St
Voorheesville, NY 121869713
County: Albany
Telephone: (518)765-2399
Website: <https://hs.ocfs.ny.gov/dcfis/Profile/Index/39157>
Directions: [Click here](#)



asemap



Head Start & Early Head Start sites

CBO & School District Collaboration Tip Sheet

Tip Sheet:

Community-Based Organization/Head Start/ School District Prekindergarten Collaborations

This is an exciting time in early childhood in New York State. New partnerships are forming and growing between Head Start programs, Community-Based Organizations (CBOs) and school districts to provide prekindergarten programs. To be successful, these partnerships require stakeholders to have a clear and shared understanding of:

- high quality early childhood education and care;
- community-wide benefits of the partnership;
- how to implement comprehensive services;
- differing policies and regulations that each partner operates under and how to coordinate these differences ; and
- how to manage, monitor and coordinate the partnership and associated services¹.

Below is an outline of the areas that partners should consider before creating a formal partnership agreement. This document is intended to serve as a guide to aide in partnership formation and delegation of roles – it is for internal use and does not need to be turned in to the New York State Education Department. It can also be used for an annual self-assessment.

Sections of the checklist are as follows:²

- I. General Information and Administrative Elements
- II. Partnership Services
- III. Fiscal/Resources
- IV. Systems
 - a. Planning and Decision-making
 - b. Communications
 - c. Oversight
 - d. Recordkeeping and Documentation
- V. Discipline/ Child Guidance
- VI. Transition to Kindergarten
- VII. Contact Us



¹ <http://eclkc.ohs.acf.hhs.gov/hslc/ta-system/ehsnrc/docs/ehs-tip-sheet-12-part-2.pdf>

² This tool is adapted from: <http://eclkc.ohs.acf.hhs.gov/hslc/ta-system/operations/docs/quilt-checklist-developing-partnership-agreement-contract.pdf>

RFP Process for Collaboration

- The RFP Process is outlined under Commissioner's Regulations 151-1.6.
- Each school district shall develop a process by which eligible agencies shall submit proposals. The process shall be designed to provide the district with sufficient information on which to determine the eligible agencies it will collaborate with to implement the prekindergarten program.

What to Include in the RFP

- At a minimum, the school district should include:
 - A description of the services to be provided by the eligible agency;
 - A detailed narrative which describes how the eligible agency proposes to meet the goals and objectives of the district's UPK plan
 - A description of the eligible agency's staff qualifications, staffing patterns, child-staff ratio and administrative structure; and
 - A budget of proposed expenditures for the services rendered.

Do Districts Have to Collaborate?

- Yes, as part of Education Law 3602-e, districts are required to collaborate with eligible agencies. However, there are instances where a district can submit with their application a variance for the 10% collaboration requirement.
- A district can apply for a collaboration waiver if:
 - There are no potential collaborators within the district boundaries;
 - Potential collaborators are not interested or have declined to collaborate with the district;
 - Potential collaborators are unable or unwilling to comply with UPK requirements;
 - No potential collaborators responded to district outreach efforts.

Lottery Process

Lottery System Section 3602-e of Education Law requires that all districts have a random selection process (lottery system) in place if there are more children registered than there are seats available.

- State-administered prekindergarten placement is not on a first-come, first-served basis.
- Established school district PreK lottery policy and procedures approved and adopted by the Board of Education for use in current and future school years are required regardless of a lottery's necessity during past school years.
- Before or after a lottery, there is no “balancing” of students, such as reserving slots or adjusting lottery results to accommodate specific student demographics or characteristics.
- The lottery procedure should address the incidence of multiples (twins, triplets, etc.)
- When lottery placements are filled, the lottery continues into a waitlist.

Sample School District & CBO Contract

- Responsibility and Funding
- Funding
- Term
- Contract Administration
- Cooperation and Joint Responsibilities
- Entire Understanding
- Executors Clause (Both parties' signatures)

This is a **sample** and not legally binding, any contact should go through the district and CBO lawyers.

Resources

1. **NYSED-Office of Early Learning Webpage** <http://www.nysed.gov/early-learning>
2. **NYS Council on Children and Families** <https://www.ccf.ny.gov/>
<https://www.ccf.ny.gov/council-initiatives/head-start-collaboration-project/>
3. **Sample Contract:** https://www.ccf.ny.gov/files/6116/2125/2023/PreK_HS_CBO_Colla_Contract_Template.pdf
4. **Subpart 151-1- Universal Prekindergarten Program-** <https://bit.ly/3bo6O6c>
5. **New York State Law Section 3602-E: Universal Prekindergarten Program-** <https://bit.ly/2SRtF3L>
6. **UPK Allocations and Financial** [Forms-2021-2022-http://www.nysed.gov/early-learning/state-administered-prekindergarten-programs-allocations-and-financial-forms](http://www.nysed.gov/early-learning/state-administered-prekindergarten-programs-allocations-and-financial-forms)*

Q & A

Thank you for sending in so many great questions. We have done our best to answer them in the FAQ document.

We will walk through as many as we can now, and you can add more questions in the chat and in the Webinar evaluation and we will add those to the FAQ document and post it.

Question & Answers

1. How do we determine income eligibility for all applicants when one CBO (Head Start) is based on income eligibility and one (School District run PreK) is not.
2. How can a Head Start provide UPK without making hours extremely long? For instant, we are currently running a 5-hour Head Start program and struggle to understand how to combine with a 2.5-5 hour UPK day.
3. As a community-based organization, can we partner multiple school districts that are not located in our immediate area? Can parents choose what center they would like to use for the UPK if not located in their district?
4. Can a school district use off campus space for UPK without being OCFS licensed?

Question & Answers (cont.)

5. Are there any professional learning opportunities that are currently available to the early childhood field?
6. What is the current status on teacher certification requirements for those who work in Community Based organizations?
7. Can a district score a CBO without a site visit and can the CBO request to see the scores of the RFP?
8. Can a UPK teacher's day be extended to cover after school child care? If so, what regulations kick in if the teacher maintains her class for child care?
9. How are the allocations determined by district?
10. How can a CBO reach out to a district to collaborate?

OCFS Question and Answer Slide

1. If a program is licensed by OCFS and providing UPK programming, what regulations must be followed?
2. How do I get licensed by OCFS?
3. How long does it take to get licensed by OCFS?

Contact Information

For questions about...

Head Start

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Patricia.persell@ccf.ny.gov

State Administered Prekindergarten

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Child Care

Jim Hart

Director of Program Operations
New York State Office of Children and Families

Jim.Hart@ocfs.ny.gov

Multiple-choice poll

I learned something today...



Yes!



No!

0%

Maybe....?

4%

Thank you!

Evaluation link:

<https://www.surveymonkey.com/r/9SW3GPK>



**Council on Children
and Families**